

**The Herbert Kania Pediatric Group**  
affiliated with



**NOTICE OF PRIVACY PRACTICES**  
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As Required by the Privacy Regulations Adopted Under  
Health Insurance Portability and Accountability Act ("HIPAA") of 1996

**THIS NOTICE DESCRIBES  
HOW HEALTH INFORMATION ABOUT YOUR CHILD  
(AS A PATIENT OF OUR PRACTICE) MAY BE USED AND  
DISCLOSED, AND HOW YOU CAN GET ACCESS TO YOUR CHILD'S  
INDIVIDUALLY IDENTIFIABLE HEALTH INFORMATION.**

**PLEASE REVIEW THIS NOTICE CAREFULLY.**

**A. OUR COMMITMENT TO PRIVACY**

Children's & Women's Physicians of Westchester, LLP (hereinafter "CWPW") is a pediatric multispecialty practice that provides medical services throughout the Hudson Valley. We are dedicated to maintaining the privacy of your child's individually identifiable health information or protected health information ("PHI"). We are required by law to maintain the confidentiality of health information that identifies your child and to provide you with this notice of our legal duties and our privacy practices concerning your child's PHI.

We are required to furnish you with the important information discussed below regarding how we may use and disclose your child's PHI, our obligations concerning such use and disclosure, and your child's privacy rights concerning such information. The following briefly summarizes your child's rights with respect to his or her PHI:

- right to receive a copy of this Privacy Notice;
- right to inspect and copy certain health information;
- right to receive an accounting of certain disclosures that we make of the PHI;
- right to request restrictions on how we use and disclose PHI;
- right to request that we communicate at alternative locations, mailing addresses or telephone numbers;
- right to request amendments to the PHI;
- right to revoke an authorization that we obtained to disclose the PHI; and
- right to complain about suspected violations of your child's privacy rights.

The terms of this notice apply to all records containing your child's PHI that are created or retained by our practice. We reserve the right to revise or amend this Notice of Privacy Practices. Any revision or amendment to this notice will be effective for all of your child's records that our practice has created or maintained in the past, and for any records that we may create or maintain in the future. Our practice will post a copy of our current Notice in our offices in a visible location at all times, and you may request a copy of our most current Notice at any time.

**B. IF YOU HAVE QUESTIONS ABOUT THIS NOTICE, PLEASE CONTACT OUR PRIVACY OFFICER:**

Ruth Diamond  
 Privacy Officer  
 Children's & Women's Physicians of Westchester, LLP  
 NYMC-Munger Pavilion, Room 120  
 Valhalla, NY 10595  
 Telephone # 914-594-4021

**C. TREATMENT, PAYMENT AND HEALTH CARE OPERATIONS.**

**1. Treatment.** Our practice may use your child's PHI to treat your child. For example, we may disclose your child's PHI as follows:

- To order laboratory tests (such as blood or urine tests), which we may use the results to help us reach a diagnosis.
- To write a prescription, or we might disclose your child's PHI to a pharmacy when we order a prescription for you.
- To treat or to assist others in the treatment of your child.
- To inform you of potential treatment options or alternatives or programs.
- To others who you have given permission to bring your child to the office and/or to consent to their treatment. For example, if you ask a relative or babysitter to bring your child to our office for treatment of a cold, the relative or babysitter may have access to the child's medical information.
- To other health care providers for purposes related to their treatment.

**2. Payment.** Our practice may use and disclose your child's PHI in order to bill and collect payment for the services and items provided by us for your child. For example, we may disclose your child's PHI as follows:

- To contact your child's health insurer to certify that your child is eligible for benefits (and for what range of benefits), and we may provide your child's insurer with details regarding your child's treatment to determine if the insurer will cover, or pay for, your child's treatment.
- To obtain payment from other third parties that may be responsible for such costs.
- To bill you directly for services and items.
- To other health care providers and entities to assist in their billing and collection efforts.

**3. Health Care Operations.** Our practice may use and disclose your child's PHI to operate our business. As examples of the ways in which we may use and disclose your child's information for our operations include, but are not limited to, the following:

- To evaluate the quality of care your child received from us, or to conduct cost-management and business planning activities for our practice.
- To a social worker as a part of case management.
- To contact you and remind you of your child's appointment.
- To inform you of health-related benefits or services that may be of interest to you.

#### **D. USE AND DISCLOSURE OF YOUR CHILD'S PHI IN CERTAIN SPECIAL CIRCUMSTANCES**

The following categories describe unique scenarios in which we may use or disclose your child's identifiable health information to the extent such use or disclosure is required by law:

**1. Public Health Risks.** Our practice may disclose your child's PHI to public health authorities or others that are authorized by law to collect information for the following purposes:

- To maintain vital records, such as births and deaths.
- reporting child abuse or neglect.
- To prevent or control disease, injury or disability.
- To report potential exposure to a communicable disease.
- To report a potential risk for spreading or contracting a disease or condition.
- To report reactions to drugs or problems with products or devices.
- To report to your employer for certain work-related illness or injuries.

**2. Health Oversight Activities.** Our practice may disclose your child's PHI to a health oversight agency for activities authorized by law. Oversight activities can include, for example, investigations, inspections, audits, surveys, licensure and disciplinary actions; civil, administrative, and criminal procedures or actions; or other activities necessary for the government to monitor government programs, compliance with civil rights laws and the health care system in general.

**3. Lawsuits and Similar Proceedings.** Our practice may use and disclose your child's PHI in response to a court or administrative order, if you are involved in a lawsuit or similar proceeding. We also may disclose your child's PHI in response to a discovery request, subpoena, or other

lawful process by another party involved in the dispute where we receive satisfactory assurance that you have been notified of the request and have been given time to object and other appropriate precautions have been taken.

**4. Law Enforcement.** We may release PHI if asked to do so by a law enforcement official:

- Regarding a crime victim in certain situations, if we are unable to obtain the person's agreement.
- Concerning a death we believe has resulted from criminal conduct.
- Regarding criminal conduct at our offices.
- In response to a warrant, summons, court order, subpoena or similar legal process.
- To identify/locate a suspect, material witness, fugitive or missing person.

**5. Victims of Abuse, Neglect or Domestic Violence.** We may disclose personal health information about a child whom we reasonably believe to be a victim of abuse, neglect, exploitation or domestic violence to a government authority, including a social service or protective service agency authorized by law to receive reports of child abuse, neglect, exploitation or domestic violence. Any such disclosures will be made in accordance with applicable law.

**6. Deceased Patients.** Our practice may release PHI to a medical examiner or coroner to identify a deceased individual or to identify the cause of death. If necessary, we also may release information in order for funeral directors to perform their jobs.

**7. Research.** Our practice may use and disclose your child's PHI for research purposes in certain limited circumstances. We will obtain your written authorization to use your child's PHI for research purposes except when an Internal Review Board or Privacy Board has determined that the waiver of your authorization satisfies the following: (i) the use or disclosure involves no more than a minimal risk to your child's privacy based on the following: (A) an adequate plan to protect the identifiers from improper use and disclosure; (B) an adequate plan to destroy the identifiers at the earliest opportunity consistent with the research (unless there is a health or research justification for retaining the identifiers or such retention is otherwise required by law); and (C) adequate written assurances that the PHI will not be re-used or disclosed to any other person or entity (except as required by law) for authorized oversight of the research study, or for other research for which the use or disclosure would otherwise be permitted; (ii) the research could not practicably be conducted without the waiver; and (iii) the research could not practicably be conducted without access to and use of the PHI.

**8. Serious Threats to Health or Safety.** Our practice may use and disclose your child's PHI when necessary to reduce or prevent a serious threat to your child's health and safety or the health and safety of another individual or the public. Under these circumstances, we will only make disclosures to a person or organization able to help prevent the threat.

**9. Workers' Compensation.** Our practice may release your child's PHI for workers' compensation and similar programs.

**10. Organ Donation.** As allowed by law, we may disclose your child's PHI to organ procurement organizations for organ, eye or tissue donation purposes.

**11. Business Associates.** There are some services that we provide through contracts with our business associates who work on our behalf. In such situations, we may disclose your child's

PHI to our business associates so that they can perform the jobs we asked them to do. We require all business associates to execute an agreement that requires them to comply with the HIPAA privacy requirements to safeguard your child's PHI.

**12. Compliance.** We are required to disclose your child's PHI to the Secretary of the Department of Health and Human Services or his/her designee upon request to investigate our compliance with HIPAA or to you upon request pursuant to section E.3 below.

**13. Appointment Reminders.** We may use or disclose your protected health information to contact you to provide appointment reminders or information about treatment alternatives or other health-related benefits and services that we believe may be of interest to you. We may remind you of appointments by mailing a postcard to you at the address provided by you or by telephoning your home and leaving a message on your answering machine or with the individual answering the phone. These appointment reminders will disclose your child's name, address and the time, date and location of the appointment.

**14. Required by Law.** In addition to those uses and disclosures listed above, we may use and disclose PHI if and to the extent we are otherwise required by law.

## **E. RIGHTS REGARDING YOUR CHILD'S PHI**

You have the following rights regarding the PHI that we maintain about your child:

**1. Confidential Communications.** You have the right to request that our practice communicate with you about your child's health and related issues in a particular manner or at a certain location. For instance, you may ask us not to contact you at work. In order to request a type of confidential communication, you must make a written request to the Privacy Officer, specifying the requested method of contact, or the location where you wish to be contacted. Our practice will accommodate reasonable requests. You do not need to give a reason for your request.

**2. Requesting Restrictions.** You have the right to request a restriction in our use or disclosure of your child's PHI for treatment, payment or health care operations. Additionally, you have the right to request that we restrict our disclosure of your child's PHI to only certain individuals involved in your child's care or the payment for care, such as family members and friends. **We are not required to agree to your request; however, if we do agree, we are bound by our agreement, except when otherwise required by law, in emergencies, or when the information is necessary to treat your child.** In order to request a restriction in our use or disclosure of your PHI, you must make your request in writing to the Privacy Officer. Your request must describe in a clear and concise fashion:

- the information you wish restricted.
- whether you are requesting to limit our practice's use, disclosure or both; and
- to whom you want the limits to apply.

**3. Inspection and Copies.** You have the right to inspect and obtain a copy of the PHI that may be used to make decisions about your child, including patient medical records and billing records, but not including psychotherapy notes. You must submit your request in writing to the Privacy Officer in order to inspect and/or obtain a copy of your child's PHI. Our practice may charge a fee for the costs of copying, mailing, labor and supplies associated with your request. Our practice may deny your request to inspect and/or copy in certain limited circumstances;

however, you may request a review of our denial. Another licensed health care professional chosen by us will conduct reviews.

**4. Amendment.** You may ask us to amend your child’s health information if you believe it is incorrect or incomplete, and you may request an amendment for as long as the information is kept by or for our practice. To request an amendment, your request must be made in writing and submitted to the Privacy Officer. You must provide us with a reason that supports your request for amendment. Our practice will deny your request if you fail to submit your request (and the reason supporting your request) in writing. Also, we may deny your request if you ask us to amend information that is in our opinion: (a) accurate and complete; (b) not part of the PHI kept by or for the practice; (c) not part of the PHI which you would be permitted to inspect and copy; or (d) not created by our practice, unless the individual or entity that created the information is not available to amend the information.

**5. Accounting of Disclosures.** All of our patients have the right to request an “accounting of disclosures.” An “accounting of disclosures” is a list of certain non-routine disclosures our practice has made of your child’s PHI for non-treatment, non-payment or non-operations purposes. Use of your child’s PHI as part of the routine patient care in our practice is not required to be documented. For example, the doctor sharing information with the nurse; or the billing department using your child’s information to file your insurance claim. We also will not provide an accounting of disclosures made to you about your child, or incident to a use or disclosure we are permitted to make as described above, or pursuant to an authorization. In order to obtain an accounting of disclosures, you must submit your request in writing to the Privacy Officer. All requests for an “accounting of disclosures” must state a time period, which may not be longer than six (6) years from the date of disclosure and may not include dates before April 14, 2003. The first list you request within a 12-month period is free of charge, but our practice may charge you for additional lists within the same 12-month period. Our practice will notify you of the costs involved with additional requests, and you may withdraw your request before you incur any costs.

**6. Right to a Paper Copy of This Notice.** You are entitled to receive a paper copy of our Notice of Privacy Practices. You may ask us to give you a copy of this notice at any time. To obtain a paper copy of this notice, contact the Privacy Officer.

**7. Right to File a Complaint.** If you believe your child's privacy rights have been violated, you may file a complaint with our practice or with the Secretary of the Department of Health and Human Services. To file a complaint with our practice, contact our Privacy Officer. All complaints must be submitted in writing. You will not be penalized for filing a complaint.

**8. Right to Provide an Authorization for Other Uses and Disclosures.** Our practice will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide to us regarding the use and disclosure of your child’s PHI may be revoked at any time in writing. After you revoke your authorization, we will no longer use or disclose your child’s PHI for the reasons described in the authorization. Please note that we are required to retain records of your child’s care.

## **F. SPECIAL CIRCUMSTANCES**

**1. Minors.** Under New York State law minors (under the age of 18) have the right to request and receive medical care without parental consent when medical care is provided under the following circumstances:

- A minor of either sex who has a child can consent to his or her own medical care.
- A minor who is requesting specific medical services for pregnancy can consent to her own medical care.
- A minor who is requesting contraceptive services can consent to her own medical care.
- A minor of either sex who is seeking treatment for sexually transmitted disease can consent to his or her own medical care.

Medical information obtained under any of the above circumstances is confidential and cannot be disclosed anyone, including a parent or guardian, without the minor's consent, except as otherwise provided in Sections C or D above.

### **2. Psychological Treatment.**

Psychotherapy notes are defined as any notes recorded in any medium by a health care provider who is documenting or analyzing the contents of conversation during a private counseling session or a group, joint or family counseling session and that are separated from the rest of the individual's medical record. Individual authorization must be obtained for psychotherapy notes to be used for treatment, payment, health care operations and any other purpose.

Medication prescription and monitoring, counseling session start times, modality and frequency of treatment, results of clinical tests and any summary of diagnosis, functional status, the treatment plan, symptoms, prognosis and progress to date are all excluded from the definition of psychotherapy notes.

Again, if you have any questions regarding this notice or our health information privacy policies, please contact our Privacy Officer.

**Effective Date.** This Notice is effective as of July 1, 2009.